



O I P E JC41  
MAR 13 2002  
UNITED STATES PATENT & TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

Page 1 of 1

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/017,800	12/12/2001	Eric G. Lovett	279.353US1

CONFIRMATION NO. 9663

21186  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

FORMALITIES LETTER



\*OC000000007263980\*

Date Mailed: 01/03/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$834.
  - \$414 for 23 total claims over 20.
  - \$420 for 5 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1704.**

*A copy of this notice **MUST** be returned with the reply.*

H. T  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE  
03/18/2002 BNGUYEN1 000000049 10017800  
01 FE:105  
02 FC:101  
03 FC:103  
04 FC:102



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Eric G. Lovett et al.

MHS  
A<sup>2</sup>  
**COPY OF PAPERS  
ORIGINALLY FILED**

Title: RATE SMOOTHING CONTROL

Docket No.: 279.353US1

Filed: December 12, 2001

Examiner:

Serial No.: 10/017,800  
Due Date: March 3, 2002  
Group Art Unit: 3762

Attn: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the attached:

- A return postcard.
- A check in the amount of \$130.00 to cover the Missing Parts Fee.
- A check in the amount of \$1,574.00 to cover the Basic Filing Fee and Additional Claims Fee.
- Communication Re: Missing Parts (1 pg.)
- A signed Combined Declaration and Power of Attorney ( 3 pgs.).
- Notice to File Missing Parts (1pg.)

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

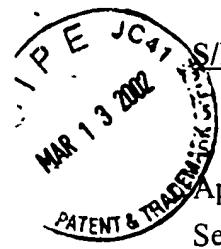
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Suneel Arora  
Name: Suneel Arora  
Reg. No. 42,267  
SA:CMG:pms

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 1 day of March, 2002.

Paula Suchy  
Name

Paula Suchy  
Signature



COPY OF PAPER  
ORIGINALLY FILED

PATENT

S/N 10/017,800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eric G. Lovett et al.

Examiner:

Serial No.: 10/017,800

Group Art Unit: 3762

Filed: December 12, 2001

Docket: 279.353US1

Title: RATE SMOOTHING CONTROL

COMMUNICATION RE: MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$1,574.00 to cover the large entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6951.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

ERIC G. LOVETT ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6951

Date 1 March 2002

By Suneel Arora

Suneel Arora  
Reg. No. 42,267  
SA:CMG:pm

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 1 day of March, 2002.

Paula Suchy  
Name

Paula Suchy  
Signature